

Regulatory and Audit Committee

Title:	Risk Management Strategy - Update
Date:	13 th November 2014
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Local members affected:	None

For press enquiries concerning this report, please contact the media office on 01296 382444

Summary

This report summarises the discussions at the Risk Management Group held on 31st October 2014 to review progress of the Council's Risk Management framework.

Recommendation

Members are asked to note the report.

Summary

1. The Risk Management Group (RMG) met on 31st October 2014. The meeting was attended by:

- Zahir Mohammed (Chairman)
- David Martin (Member of Regulatory and Audit Committee)
- Tim Butcher (Member of Regulatory and Audit Committee)
- Ian Dyson (Chief Internal Auditor)
- Maggie Gibb (Risk and Insurance Manager)
- Richard Schmidt (Assistant Director (Strategic Finance))
- Andrew Capjon (Performance and Risk Officer)
- Helen Wailling (Democratic Services Officer)

2. Jonathon Noble from the Future Shape Programme Team attended the meeting to update the RMG on the Future Shape Programme and the management of risks within the programme. The RMG received a short report giving an overview of the key programme risks and progress against the detailed design phase which had been presented to the Future



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Shape Board on 22nd October 2014. The six key programme risks were discussed in detail and a number of actions identified which will be followed up by the Risk and Insurance Manager during the monthly Future Shape Delivery Meeting.

The Managing Directors of both the Shared Services Business Unit and the Transport, Environment and Economy Business Unit will attend the next RMG meeting to discuss their implementation plans and management of risks in preparation for go-live in April 2015.

3. Gill Harding (Director, Place Service) and Mike Freestone (Director of Transport) attended the meeting to update members on the work in progress to strengthen the governance framework and contract management arrangements in place for the Ringway Jacobs contract.

Gill Harding explained there are a number of challenges still faced by the client side, but improvements have been made and there is now a more robust and rigorous contract management process in place. The RMG were specifically updated on improvements to challenging the invoice and payment process.

Gill explained that management personnel on the contractor side had been changed in recent months and significant improvements had been noted with both engagement and responsiveness as a result of these changes.

The RMG were presented with the Transport Risk Register (Transformation Project), however this was not complete and all risks were rated as "green" which is not an accurate reflection of the risks faced by the service. The RMG requested that the risk register be reviewed and updated as a matter of urgency.

Mike Freestone presented a brief commentary on the findings of the recent Forensic Audit of the Ringway Jacobs contract carried out by KPMG. Six key findings had been identified and these were discussed with the members.

The Chairman requested that a progress report be brought to the Regulatory and Audit Committee in January 2015

An updated Transport risk register will be presented to the RMG also in January 2015.

4. The RMG received a brief update from the Risk and Insurance Manager on the work of the Risk Team since the last meeting.

The new Risk Management system is now fully operational with a number of training sessions delivered across services. Two drop-in sessions had also been held with good attendance.

The Risk and Insurance Manager and Chief Auditor had recently attended the COMT Away Day to discuss the Strategic Risk Register and to receive feedback on the proposals for the new Risk and Assurance Strategy.

COMT had also looked at a report from Zurich Municipal which provided a useful insight into the changing risk landscape.

The Strategic Risk Register would be going to LAG on 11 November 2014. There was currently no lead member identified for each risk, but this would be addressed at LAG.

The Risk Team have continued to review the robustness of risk management processes across key projects, with particular focus on some of the new alternative delivery vehicles.

A report was presented to RMG following a review of the Alternative Business Structure within Legal Services, called Bucks Law Plus.

A number of issues were identified in the report, and the Risk and Insurance Officer will continue to work with Legal colleagues to monitor progress.

The Chief Auditor was asked to liaise with the Project Board regarding concerns raised by members of the RMG.

Background Papers

Risk Management Group Minutes
